

MAY 13 2019

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UNITED STATES HOUSE OF REPRESENTATIVES
2018 FINANCIAL DISCLOSURE STATEMENTForm A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2019 MAY 20 AM 10:13

(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

Mc

Name: Charles I. Fleischmann Daytime Telephone: _____

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TN</u> District: <u>03</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: **Charles J. Fleischmann**

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
	<i>Example</i> First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE F – AGREEMENTS

Name: **Charles J. Fleischmann**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

Use additional sheets if more space is required.

Name: Charles J. Fleischmann	Page 8 of 10
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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FODA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Name: Charles J. Fleischmann

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

Name: Charles J. Fleischmann	Page <u>10</u> of <u>10</u>
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Use additional sheets if more space is required.

Attachment 1

ATTACHMENT 1

Charles J. Fleischmann
December 31, 2018

Summary of Brokerage Accounts Held Through Benjamin Edwards & Co.

Account #	Value	Gain/(Loss)			Proceeds from Investment Sales **	
		Dividends and Interest	Capital Gain Distributions	on Investment Sale		
*****3890	863,175.22	12,423.38	21,398.99	(1,133.59)	32,688.78	164,265.40
*****9133	8,573.90	14.61	-	(3,124.63)	7,053.01	-
*****5005	98,970.13	2,151.83	-	7,038.40	9,190.23	88,082.76
*****1695	228,361.97	6,560.97	6,396.47	-	12,957.44	-
Totals	<u>\$ 1,199,081.22</u>	<u>\$ 21,150.79</u>	<u>\$ 27,795.46</u>	<u>\$ 2,780.18</u>	<u>\$ 61,889.46</u>	<u>\$ 252,348.16</u>

** Gross sales proceeds from transactions reported on Schedule IV

Details on Attachment 2
Details on Attachment 3
Details on Attachment 4
Details on Attachment 5

Attachment 2



BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Breunwald Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

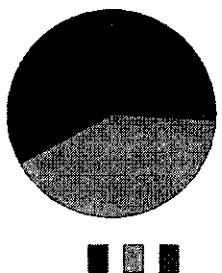
SEP Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
8714 RAMBLING ROSE DR
COOLETWAH TN 37363-7118

Your Financial Advisor is:
WARD PETTY
(423) 668-5411

The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



Percent	Asset Type	Prior Year-End	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	5,257.33	592.56	5,647.48
41%	Equities	352,198.00	384,679.00	357,469.25
58%	Mutual Funds	555,690.21	555,023.27	500,058.49
0%	Exchange-Traded Products	5,925.00	0.00	0.00
100%	Account Total (Pie Chart)	\$919,070.54	\$940,294.83	\$863,175.22

Please review your allocation periodically with your Financial Advisor.

December 1, 2018 - December 31, 2018
Account Number: EPP-003890

Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$940,294.83	\$919,070.54
Dividends, Interest and Other Income	24,173.86	33,822.37
Fees	0.00	-10,605.96
Other Transactions	0.00	-34.24
Net Change in Portfolio ¹	-101,293.47	-79,077.49
ENDING ACCOUNT VALUE	\$863,175.22	\$863,175.22
Estimated Annual Income	\$12,130.27	

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.



Additional Information

Description	This Period	Year-to-Date
Securities Bought and Sold	\$19,118.94	-\$22,792.02

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	-1,536.49	-6,641.43	-11,361.18
Long-Term Gain/Loss	0.00	5,507.84	118,308.47
Net Gain/Loss	-1,536.49	-1,133.59	106,947.29

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier and tax documents are no exception. With anywhere, anytime access and the ability to download, then e-mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in.

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This convenient e-delivery service is available even if you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery for you.

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Client Service Information

Your Financial Advisor is: C90

WARD PETTY
1101 BROAD STREET, SUITE 105
CHATTANOOGA TN 37402-2943

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: MODERATE GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.

RETIREMENT INFORMATION

Year-End Fair Market Value (12/31/2018): \$863,175.22.

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2018.

Account Number: EPP-003890

800-900-3573003

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Corporation (BNY Mellon)
Pershing LLC member FINRA, NYSE, SIPC



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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

December 31 2018
SEP FBO CHARLES FLEISCHMANN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
Default Method for all Other Securities: First In First Out
BOND AMORTIZATION ELECTIONS
Amortize premium on taxable bonds based on Constant Yield Method: Yes
Accrual market discount method for all other bond types: Constant Yield Method
Include market discount in income annually: No

ELECTRONIC DELIVERY

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

Client Account Beneficiary Information

Beneficiary Name	Primary/Contingent	Relationship to Account Holder(s)	Allocation Percent
BRENDA FLEISCHMANN	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Advisor to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
INSURED DEPOSIT PROGRAM F				
Activity Ending: 12/31/18				
12/01/18	Opening Balance		556.56	556.56
12/03/18	Deposit	DEPOSIT	36.00	592.56
12/04/18	Deposit	DEPOSIT	271.12	863.68
12/05/18	Deposit	DEPOSIT	37.50	901.18
12/10/18	Deposit	DEPOSIT	132.00	1,033.18
12/11/18	Deposit	DEPOSIT	49.00	1,082.18
12/13/18	Deposit	DEPOSIT	44.00	1,126.18

Account Number: EPP-003890

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Corporation (BNY Mellon)
Pershing LLC, member FINRA, NYSE, SIPC

FDIC Insured Bank Deposits (continued)

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits (continued)				
INSURED DEPOSIT PROGRAM F (continued)				
12/14/18	Deposit	DEPOSIT INTEREST CREDITED	3,223.30	4,349.48
12/14/18	Deposit	APY 1.15%	1.63	4,351.11
12/17/18	Deposit	DEPOSIT Citibank N.A.	2,220.43	6,571.54
12/18/18	Deposit	A/O 12/17 \$6571.54		
12/19/18	Deposit	DEPOSIT	1,675.72	8,247.26
12/20/18	Deposit	DEPOSIT	174.05	8,421.31
12/24/18	Deposit	DEPOSIT	3,398.65	11,819.96
12/26/18	Deposit	DEPOSIT	746.85	12,566.81
12/28/18	Withdrawal	DEPOSIT	263.41	12,303.22
12/31/18	Closing Balance	WITHDRAWAL	-7,807.43	5,022.79
Total FDIC Insured Bank Deposits				\$5,022.79

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS, AND BANK DEPOSITS 1.00% of Portfolio									
Cash Balance				36.00	624.69				
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM F									
12/01/18	5,022.780	N/A	12/31/18	556.56	5,022.79	4.01	1.63	N/A	N/A
DEUTSCHE BANK INS DEPOSIT E									
12/01/18		N/A	12/31/18	0.00	0.00	0.00	22.07	N/A	N/A
Total FDIC Insured Bank Deposits				\$556.56	\$5,022.79	\$4.01	\$23.70		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS				\$592.56	\$5,647.48	\$4.01	\$23.70		

Account Number: EFP-003890

800-5003SF3003

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December 2018 - December 31 2018
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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES 41.00% of Portfolio								
Common Stocks								
ABBOTT LABS COM			Security Identifier: ABT					
Dividend Option: Cash			CUSIP: 002824100					
11/26/13 *	500.000	38.1700	19,085.00	72.3300	36,165.00	17,080.00	560.00	1.54%
ALPHABET INC CAP STK CL C			Security Identifier: GOOG					
Dividend Option: Cash			CUSIP: 02079K107					
12/19/14 *	50.000	507.8550	25,392.77	1,035.6100	51,780.50	26,387.73		
AMERICAN EXPRESS COMPANY			Security Identifier: AXP					
Dividend Option: Cash			CUSIP: 02586109					
03/31/16 *	150.000	60.9680	9,145.22	95.3200	14,298.00	5,152.78	234.00	1.63%
AMGEN INC COM			Security Identifier: AMGN					
Dividend Option: Cash			CUSIP: 03162100					
Multiple - Y								
Total Noncovered	170.4910	17,049.08	194.6700		19,467.00	2,417.92	580.00	2.97%
100.000								
APPLE INC COM			Security Identifier: AAPL					
Dividend Option: Cash			CUSIP: 037833100					
01/29/16 *	100.000	95.7770	9,577.65	157.7400	15,774.00	6,196.35	292.00	1.85%
ASTEC INDS INC COM			Security Identifier: ASTE					
Dividend Option: Cash			CUSIP: 046224101					
11/07/18 *	100.000	37.2700	3,727.00	30.1900	3,019.00	-708.00	44.00	1.45%
BAIDU COM INC SPONS ADR REPRG ORD SHS			Security Identifier: BIDU					
CL A			CUSIP: 056752108					
Dividend Option: Cash								
12/26/18 *	50.000	156.5490	7,827.43	158.6000	7,930.00	102.57		
C H ROBINSON WORLDWIDE INC COM			Security Identifier: CHRW					
NEW			CUSIP: 12541W209					
Dividend Option: Cash								
02/11/14 *	300.000	52.8400	15,852.00	84.0900	25,227.00	9,375.00	600.00	2.37%

Account Number: EPP-003890

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 Corporation (BNY Mellon)
 Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
CISCO SYSTEMS INC			Security Identifier: CSCO					
Dividend Option: Cash			CUSIP: 17275R102					
01/30/13 *	400.000	20.6150	8,245.80	43.3300	17,332.00	9,086.20	528.00	3.04%
EDITAS MEDICINE INC COM			Security Identifier: EDIT					
Dividend Option: Cash			CUSIP: 28106W103					
Multiple -Y	Total Noncovered	28.8900	2,889.00	22.7500	2,275.00	-614.00		
	100.000							
ELECTRONIC ARTS INC COM			Security Identifier: EA					
Dividend Option: Cash			CUSIP: 285512109					
11/07/18 *	50.000	93.0000	4,650.00	78.9100	3,945.50	-704.50		
EMERSON ELEC CO COM			Security Identifier: EMR					
Dividend Option: Cash			CUSIP: 281011104					
07/30/15 *	100.000	51.7550	5,175.50	59.7500	5,975.00	799.50	196.00	3.28%
FACEBOOK INC CL A			Security Identifier: FB					
Dividend Option: Cash			CUSIP: 30303M102					
11/07/18 *	50.000	151.5700	7,578.50	131.0900	6,554.50	-1,024.00		
GOLDMAN SACHS GROUP INC COM			Security Identifier: GS					
Dividend Option: Cash			CUSIP: 38141G104					
*Security Disposition Method: Versus Purchase								
11/14/18 *	25.000	201.2690	5,031.73	167.0500	4,176.25	-855.48	80.00	1.91%
HD SUPPLY HLDS INC COM			Security Identifier: HDS					
Dividend Option: Cash			CUSIP: 40416M105					
08/30/17 *	100.000	32.2700	3,227.00	37.5200	3,752.00	525.00		
METLIFE INC COM			Security Identifier: MET					
Dividend Option: Cash			CUSIP: 59156R108					
Multiple -Y	Total Noncovered	35.1620	7,032.35	41.0600	8,212.00	1,179.65	336.00	4.09%
	200.000							
NOVO NORDISK A.S. ADR FORMERLY NOVIO			Security Identifier: NVO					
INDUSTRIE A.S. ADR SAME CUSIP			CUSIP: 670100205					
Dividend Option: Cash								
12/21/16 *	100.000	35.6300	3,563.00	46.0700	4,607.00	1,044.00	90.58	1.96%
NVIDIA CORP COM			Security Identifier: NVDA					
Dividend Option: Cash			CUSIP: 67066G104					
11/19/18 *	25.000	161.7500	4,043.75	133.5000	3,337.50	-706.25	16.00	0.47%





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 Saint Louis, MO 63105
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December 31, 2018 - December 31, 2018
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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
ORACLE CORP COM			Security Identifier: ORCL					
Dividend Option: Cash			CUSIP: 68389X105					
09/25/15	300.000	36.1700	10,851.00	45.1500	13,545.00	2,694.00	228.00	1.68%
PAYPAL HLDGS INC COM			Security Identifier: PYPL					
Dividend Option: Cash			CUSIP: 70450Y103					
09/18/15	250.000	32.9000	8,225.00	84.0900	21,022.50	12,797.50		
PHILIP MORRIS INTL INC COM			Security Identifier: PM					
Dividend Option: Cash			CUSIP: 78172109					
01/27/14	200.000	81.8600	16,372.00	66.7600	13,352.00	-3,020.00	912.00	6.83%
SHIRE PLC SPONS ADR			Security Identifier: SHPG					
ISIN#US82481R1068			CUSIP: 82481R106					
Dividend Option: Cash								
02/21/18	50.000	125.5450	6,277.27	174.0400	8,702.00	2,424.73	53.08	0.61%
STARBUCKS CORP COM			Security Identifier: SBUX					
Dividend Option: Cash			CUSIP: 855244109					
06/20/18	100.000	54.8600	5,486.00	64.4000	6,440.00	954.00	144.00	2.23%
TENCENT HLDGS LTD ADR			Security Identifier: TCEHY					
ISIN#US88032Q1094			CUSIP: 88032Q109					
Dividend Option: Cash								
11/07/18	150.000	38.2100	5,731.50	39.4700	5,920.50	189.00	15.24	0.25%
THE TRADE DESK INC COM CL A			Security Identifier: TTD					
Dividend Option: Cash			CUSIP: 883391105					
08/22/17	100.000	50.0300	5,003.00	116.0600	11,606.00	6,603.00		
VERIZON COMMUNICATIONS INC COM			Security Identifier: VZ					
Dividend Option: Cash			CUSIP: 92343Y104					
03/10/17	200.000	49.1980	9,839.60	56.2200	11,244.00	1,404.40	482.00	4.28%

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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
VISA INC COM CL A Dividend Option: Cash	150,000	79.9770	11,996.48	131.9400	19,791.00	7,794.52	150.00	0.75%
			Security Identifier: V CUSIP: 92826C339					
08/08/16 *								
WALGREENS BOOTS ALLIANCE INC COM Dividend Option: Cash	100,000	65.7600	6,576.00	68.3300	6,833.00	257.00	176.00	2.57%
			Security Identifier: WBA CUSIP: 931427108					
11/07/17 *								
ZIMMER BIOMET HLDGS INC COM Dividend Option: Cash	50,000	120.1100	6,005.50	103.7200	5,186.00	-819.50	48.00	0.92%
			Security Identifier: ZBH CUSIP: 98958F102					
12/29/17 *								
Total Common Stocks			\$251,456.13		\$357,469.25	\$106,013.12	\$5,764.90	
TOTAL EQUITIES			\$251,456.13		\$357,469.25	\$106,013.12	\$5,764.90	
MUTUAL FUNDS 58.00% of Portfolio								
ALGER SPECTRA FUND CLASS A								
Open End Fund			Security Identifier: SPECX CUSIP: 015586102					
Dividend Option: Cash, Capital Gains Option: Cash								
Multiple *-Y	1,399,029	15.2440	21,326.24	18.2200	25,490.31	4,164.07		
			Total Noncovered					
DODGE & COX INTERNATIONAL FUND			Security Identifier: DODFX CUSIP: 256206103					
Open End Fund								
Dividend Option: Cash, Capital Gains Option: Cash								
03/19/15 *	581,116	43.8200	25,464.50	36.9100	21,448.99	-4,015.51	627.60	2.92%
			Security Identifier: DODGX CUSIP: 256219106					
DODGE & COX STOCK FUND			Security Identifier: DODGX CUSIP: 256219106					
Open End Fund								
Dividend Option: Cash, Capital Gains Option: Reinvest								
Multiple *-Y	180,990	180.6760	32,700.54	172.8100	31,276.88	-1,423.66	524.87	1.67%
			Total Noncovered					
FMI INTERNATIONAL FUND INVESTOR CLASS			Security Identifier: FMIIX CUSIP: 302833304					
Open End Fund								
Dividend Option: Cash, Capital Gains Option: Reinvest								
Multiple *-Y	1,307,197	29.1210	38,066.46	28.6300	37,423.05	-643.41	1,722.47	4.60%
			Total Noncovered					





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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
FIDELITY INTERNATIONAL GROWTH FUND								
				Security Identifier: FIGFX CUSIP: 315910315				
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Multiple "Y"	1,828.724	13.7760	25,192.17	12.0100	21,962.98	-3,229.19	193.84	0.88%
Total Noncovered								
FIRST EAGLE GLOBAL FUND CLASS A								
				Security Identifier: SGENX CUSIP: 32008F507				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
Multiple "Y"	926.909	47.2290	43,777.19	50.8900	47,170.40	3,393.21	398.57	0.84%
Total Noncovered								
NEW WORLD FUND CLASS F-2								
				Security Identifier: NFFFX CUSIP: 649280823				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple "Y"	340.859	57.0700	19,452.83	57.1600	19,483.50	30.67	266.79	1.36%
Total Noncovered								
PARNASUS MID-CAP FUND								
				Security Identifier: PARMX CUSIP: 70765885				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple "Y"	2,157.668	28.3260	61,118.24	28.8600	62,270.30	1,152.06	474.68	0.76%
Total Noncovered								
T ROWE PRICE HEALTH SCIENCES FUND								
				Security Identifier: PRHSX CUSIP: 74480107				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple "Y"	433.213	69.6170	30,159.06	67.0100	29,029.60	-1,129.46		
Total Noncovered								
T ROWE PRICE GLOBAL TECHNOLOGY								
				Security Identifier: PRGTX CUSIP: 74494108				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								



Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
T ROWE PRICE GLOBAL TECHNOLOGY (continued)								
Multiple *Y	Total Noncovered	14.0970	31,624.33	12.2000	27,368.93	-4,255.40		
	2,243.355							
PRIMECAP ODYSSEY GROWTH FUND								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple *Y	Total Noncovered	26.5250	38,174.73	34.7300	49,982.44	11,807.71	177.65	0.35%
	1,439.172							
T ROWE PRICE BLUE CHIP GROWTH								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple *Y	Total Noncovered	107.1600	24,727.80	96.0300	22,159.40	-2,568.40		
	230.755							
T ROWE PRICE REAL ESTATE								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple *Y	Total Noncovered	28.0130	19,654.54	24.7800	17,385.97	-2,268.57	505.16	2.90%
	701.613							
VANGUARD INTERNATIONAL GROWTH FUND								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple *Y	Total Noncovered	30.2220	24,732.08	24.9100	20,385.00	-4,347.08	329.87	1.61%
	818.346							
VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Multiple *Y	Total Noncovered	59.2180	62,953.61	63.2300	67,218.74	4,265.13	1,120.17	1.66%
	1,063.083							
TOTAL MUTUAL FUNDS								
			\$499,124.32		\$500,058.49	\$934.17	\$6,341.67	
TOTAL PORTFOLIO HOLDINGS								
			\$756,227.93		\$863,175.22	\$106,947.29	\$0.00	\$12,130.27

* Noncovered under the cost basis rules as defined below.

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Portfolio Holdings (continued)

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

- x You have selected a disposition method for this security that differs from the default disposition method indicated in the Your Account Information Section. If you choose to sell or transfer a portion of these shares, the disposition method noted here will be used.
- y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.
THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or



Portfolio Holdings Disclosures (continued)

Option Disclosure (continued)
financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositaries) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings HARD TO VALUE POSITIONS

Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not expect that the fair market value for hard-to-value assets reflects the amount you would actually receive in the event of a sale.

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor.

Trades Not Settled

Settlement Date	Trade Date	Activity Type	Description	Quantity	Price	Accrued Interest	Settlement Amount
01/02/19	12/28/18	Buy	CITIGROUP INC COM NEW ISIN#US1729674242 SOLICITED ORDER DISCRETION EXER	100.000	52.4590	0.00	-5,245.90
01/02/19	12/28/18	Sell	GOLDMAN SACHS GROUP INC COM SOLICITED ORDER DISCRETION EXER	-25.000	164.9824	0.00	4,124.81
Total Amount of Trades Not Settled							-\$1,121.09

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment
Dividends PHILIP MORRIS INTL INC COM	12/20/18	01/11/19	200.000	1.140000	Cash	228.00





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Cash Not Yet Received (continued)

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment
Dividends (continued)						
ZIMMER BIOMET HLDGS INC COM	12/28/18	01/31/19	50.000	0.240000	Cash	12.00
Total Cash Not Yet Received						\$240.00

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Schedule of Realized Gains and Losses Year-to-Date

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term								
ANHEUSER-BUSCH INBEV	11/07/18	10/22/18	Noncovered*	Versus Purchase / SELL	125.000	9,538.75	10,581.25	-1,042.50
NSORED ADR ISIN#US03524A1088								
Security Identifier: 03524A108								
DENMIRA INC COM	03/05/18	11/28/17	Noncovered*	Versus Purchase / SELL	50.000	454.55	1,171.98	-717.43
Security Identifier: 24983L104								
GLOBAL X FDS ROBOTIC	06/20/18	12/14/17	Noncovered*	Versus Purchase / SELL	250.000	5,680.00	5,789.98	-109.98
CAL INTELLIGENCE ETF								
Security Identifier: 37954Y715								
GOLDMAN SACHS GROUP	12/28/18*	11/14/18	Noncovered*	Versus Purchase / SELL	25.000	4,124.81	5,031.73	-906.92
Security Identifier: 38141G104								
HARBOR CAPITAL APPE	11/30/18	12/18/17	Noncovered*	First In First Out / SELL	33.305	2,479.56	2,338.00	141.56
ND								
Security Identifier: 41151S04								
IMPINU INC COM	10/12/18	09/26/18	Noncovered*	Versus Purchase / SELL	100.000	2,046.81	2,489.15	-442.34
Security Identifier: 453204109								
JABL INC COM	10/05/18	12/20/17	Noncovered*	First In First Out / SELL	100.000	2,621.00	2,697.00	-76.00
Security Identifier: 46633103								
Security Identifier: 46633103	10/12/18	12/20/17	Noncovered*	Versus Purchase / SELL	100.000	2,410.00	2,697.00	-287.00
Total					200.000	5,031.00	5,394.00	-363.00
MOSAIK CO NEW COM	05/07/18	08/21/17	Noncovered*	Versus Purchase / SELL	200.000	5,484.00	3,974.00	1,510.00
Security Identifier: 61945C103								



Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term (continued)								
STERicycle INC COM Security Identifier: 85892108	11/07/18	04/02/18	Noncovered*	Versus Purchase / SELL	100.000	4,710.00	5,763.00	-1,053.00
STITCH FIL INC CL A Security Identifier: 860897107	12/12/18	10/05/18	Noncovered*	Versus Purchase / SELL	100.000	2,220.43	2,850.00	-629.57
TENCENT HLDS LTD AD 803201094 Security Identifier: 880320109	09/28/18	05/29/18	Noncovered*	Versus Purchase / SELL	100.000	4,103.25	5,156.00	-1,052.75
VIACOM INC NEW CL B Security Identifier: 92553P201	04/03/18	09/08/17	Noncovered*	Versus Purchase / SELL	100.000	2,954.00	2,709.50	244.50
VULCAN MATLS CO COM Security Identifier: 929160109	10/22/18	04/27/18	Noncovered*	First In First Out / SELL	125.000	11,655.00	13,875.00	-2,220.00
Total Short Term						60,482.16	67,123.59	-6,641.43
Long Term								
BRISQ MYERS SQUIBB Security Identifier: 110122108	04/27/18	03/06/17	Noncovered*	First In First Out / SELL	125.000	6,541.25	7,148.39	-607.14
	04/30/18	03/06/17	Noncovered*	First In First Out / SELL	75.000	3,932.51	4,289.03	-356.52
	04/30/18	04/05/17	Noncovered*	First In First Out / SELL	50.000	2,621.67	2,703.40	-81.73
Total					250.000	13,095.43	14,140.82	-1,045.39
CEIGNE CORP Security Identifier: 151020104	02/21/18	04/08/15	Noncovered*	Versus Purchase / SELL	50.000	4,727.05	5,755.50	-1,028.45
	03/28/18	06/21/16	Noncovered*	Versus Purchase / SELL	50.000	4,312.00	4,977.00	-665.00
Total					100.000	9,039.05	10,732.50	-1,693.45
DODGE & COX INTERNAT Security Identifier: 256206103	08/17/18	03/05/14	Noncovered*	First In First Out / SELL	458.295	19,578.35	20,000.00	-421.65
	08/17/18	03/19/15	Noncovered*	First In First Out / SELL	103.503	4,421.65	4,535.50	-113.85
Total					561.798	24,000.00	24,535.50	-535.50
DODGE & COX STOCK FU Security Identifier: 25629106	07/17/18	03/19/15	Noncovered*	First In First Out / SELL	120.325	25,000.00	21,746.36	3,253.64
GOODYEAR TIRE & RUBR Security Identifier: 382550101	09/26/18	08/21/17	Noncovered*	Versus Purchase / SELL	100.000	2,336.38	2,971.00	-634.62
HARBOR CAPITAL APPRE ND Security Identifier: 41151504	11/30/18	01/07/15	Noncovered*	First In First Out / SELL	260.870	19,421.77	15,000.00	4,421.77
	11/30/18	12/17/15	Noncovered*	First In First Out / SELL	17.555	1,306.97	1,071.39	235.58
	11/30/18	12/19/16	Noncovered*	First In First Out / SELL	16.962	1,262.82	971.09	291.73
Total					295.387	21,991.56	17,042.48	4,949.08
MAZOR ROBOTICS LTD S DS ISIN#US57886P1030 Security Identifier: 57886P103	11/05/18	06/12/17	Noncovered*	Versus Purchase / SELL	50.000	2,902.50	1,575.00	1,327.50





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Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date		Designation	Disposition Method / Transaction	Quantity	Proceeds		Cost Basis	Realized Gain/Loss
	Disposed	Acquired							
Long Term (continued)									
QUALCOMM INC	11/14/18	05/15/17	Noncovered ^u	Versus Purchase / SELL	100.000	5,418.32	5,531.74		-113.42
Security Identifier: 747525103									
Total Long Term						103,783.24	98,275.40		5,507.84
Total Short and Long Term						164,265.40	165,398.99		-1,133.59

^u Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

^u These shares are unsettled and have been recently disposed. You will also see these shares on the Portfolio Holdings section until this transaction has been settled.

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.



Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-45,810.49	-45,810.49	0.00	-182,932.61	-182,932.61
Securities Sold	26,691.55	0.00	26,691.55	160,140.59	0.00	160,140.59
Total Securities	\$26,691.55	-\$45,810.49	-\$19,118.94	\$160,140.59	-\$182,932.61	-\$22,792.02
Dividends and Interest	\$5,267.94	\$0.00	\$5,267.94	\$12,423.38	\$0.00	\$12,423.38
Distributions	\$18,905.92	\$0.00	\$18,905.92	\$21,398.99	\$0.00	\$21,398.99
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$10,605.96	-\$10,605.96
Taxes Withheld	\$0.00	\$0.00	\$0.00	\$0.00	-\$34.24	-\$34.24
FDIC Insured Bank Deposits	\$7,807.43	-\$12,273.66	-\$4,466.23	\$41,627.89	-\$41,531.35	\$96.54
Totals	\$58,672.84	-\$58,084.15	\$588.69	\$235,590.85	-\$235,104.16	\$486.69

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/03/18	11/30/18	SOLD	HARBOR CAPITAL APPRECIATION FUND SOLICITED ORDER DISCRETION EXERCISED	-328.692	74.4500		24,471.12	USD
12/03/18	11/30/18	HACAX	T ROWE PRICE BLUE CHIP GROWTH NEPP003890 SOLICITED ORDER DISCRETION EXERCISED HLD-RNVST CARGN ONLY	225.557	107.2900		-24,200.00	USD
12/03/18		PURCHASED TRBCX						
12/03/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-36.00	USD
12/04/18		USD999997						
12/04/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-271.12	USD
12/04/18		USD999997						
12/04/18		CASH DIVIDEND RECEIVED	150 SHRS VISA INC COM CL A RD 11/16 PD 12/04/18				37.50	USD
12/05/18		V						
12/05/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-37.50	USD
12/05/18		USD999997						
12/07/18		CASH DIVIDEND RECEIVED	100 SHRS AMGEN INC COM RD 11/16 PD 12/07/18				132.00	USD
12/07/18		AMGN						
12/10/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-132.00	USD
12/10/18		USD999997						
12/10/18		CASH DIVIDEND RECEIVED	100 SHRS EMERSON ELEC CO COM RD 11/16 PD 12/10/18				49.00	USD
12/10/18		EMR						
12/11/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-49.00	USD
12/11/18		USD999997						





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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/11/18		CASH DIVIDEND RECEIVED	FIDELITY INTERNATIONAL GROWTH FUND (8:2.9080				192.17	USD
		FIGFX	SHRS RD 12/07 PD 12/10/18					
12/11/18		REINVEST CASH INCOME	FIDELITY INTERNATIONAL GROWTH FUND (8:2.9080	15.816			-192.17	USD
		FIGFX	SHRS SHRS PURCH. AT \$12.5000 RD 12/07 PD 12/10/18					
12/12/18		CASH DIVIDEND RECEIVED	100 SHRS WALGREENS BOOTS ALLIANCE INC COM RD				44.00	USD
		WBA	11/12 PD 12/12/18					
12/13/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-44.00	USD
		USD999997						
12/13/18		LONG TERM CAPITAL GAIN	FIRST EAGLE GLOBAL FUND CLASS A 926 9090 SHRS RD				2,549.00	USD
		DISTRIBUTION	12/11 PD 12/13/18					
12/13/18		SGENX	FIRST EAGLE GLOBAL FUND CLASS A 926 9090 SHRS RD				398.57	USD
		SGENX	12/11 PD 12/13/18					
12/13/18		CASH DIVIDEND RECEIVED	FIRST EAGLE GLOBAL FUND CLASS A 926 9090 SHRS RD				48.20	USD
		SGENX	12/11 PD 12/13/18					
12/13/18		CASH DIVIDEND RECEIVED	200 SHRS METLIFE INC COM RD 11/06 PD 12/13/18				84.00	USD
		MEI						
12/13/18		LONG TERM CAPITAL GAIN	T ROWE PRICE REAL ESTATE 683.4770 SHRS RD 12/11 PD				478.43	USD
		DISTRIBUTION	12/13/18					
12/13/18		TRREX	T ROWE PRICE REAL ESTATE 683.4770 SHRS RD 12/11 PD				143.53	USD
		CASH DIVIDEND RECEIVED	12/13/18					
12/13/18		TRREX	T ROWE PRICE REAL ESTATE 683.4770 SHRS SHRS	18.136			-478.43	USD
		REINVEST CASH INCOME	PURCH AT \$26.38000 RD 12/11 PD 12/13/18					
12/14/18	12/12/18	SOLD	STITCH FIX INC CL A COM SOLICITED ORDER DISCRETION	-100.000		22.2043	2,220.43	USD
		SFIX	EXERCISED					
12/14/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-3,223.30	USD
		USD999997						
12/14/18		LONG TERM CAPITAL GAIN	T ROWE PRICE BLUE CHIP GROWTH 225 5570 SHRS RD				507.50	USD
		DISTRIBUTION	12/12 PD 12/14/18					
		TRBCX						

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/14/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	T ROWE PRICE BLUE CHIP GROWTH 225 5570 SHRS RD 12/12 PD 12/14/18				20.30	USD
12/14/18		TRBCX						
12/14/18		REINVEST CASH INCOME	T ROWE PRICE BLUE CHIP GROWTH 225 5570 SHRS SHRS PURCH. AT \$101.54000 RD 12/12 PD 12/14/18	0.200			-20.30	USD
12/14/18		TRBCX						
12/14/18		REINVEST CASH INCOME	T ROWE PRICE BLUE CHIP GROWTH 225 5570 SHRS SHRS PURCH. AT \$101.54000 RD 12/12 PD 12/14/18	4.998			-507.50	USD
12/17/18	12/14/18	TRBCX						
		FDIC INSURED BANK DEPOSITS INTEREST	INSURED DEPOSIT INTEREST REINVESTED				-1.63	USD
		REINVESTED						
		PER987762						
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST	INSURED DEPOSIT INTEREST CREDITED				1.63	USD
		RECEIVED						
		PER987762						
12/17/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-2,220.43	USD
		USD999997						
12/17/18		LONG TERM CAPITAL GAIN DISTRIBUTION	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS RD 12/13 PD 12/14/18				738.10	USD
		FMLIX						
12/17/18		CASH DIVIDEND RECEIVED	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS RD 12/13 PD 12/14/18				1,675.72	USD
		FMLIX						
12/17/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS RD 12/13 PD 12/14/18				290.55	USD
		FMLIX						
12/17/18		REINVEST CASH INCOME	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS SHRS PURCH. AT \$28.99000 RD 12/13 PD 12/14/18	25.461			-738.10	USD
		FMLIX						
12/17/18		REINVEST CASH INCOME	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS SHRS PURCH. AT \$28.99000 RD 12/13 PD 12/14/18	10.022			-290.55	USD
		FMLIX						
12/17/18		LONG TERM CAPITAL GAIN DISTRIBUTION	T ROWE PRICE HEALTH SCIENCES FUND 407 6520 SHRS RD 12/13 PD 12/17/18				1,646.91	USD
		PRHSX						
12/17/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	T ROWE PRICE HEALTH SCIENCES FUND 407 6520 SHRS RD 12/13 PD 12/17/18				146.75	USD
		PRHSX						
12/17/18		REINVEST CASH INCOME	T ROWE PRICE HEALTH SCIENCES FUND 407 6520 SHRS SHRS PURCH. AT \$70.17000 RD 12/13 PD 12/17/18	23.470			-1,646.91	USD
		PRHSX						
12/17/18		REINVEST CASH INCOME	T ROWE PRICE HEALTH SCIENCES FUND 407 6520 SHRS SHRS PURCH. AT \$70.17000 RD 12/13 PD 12/17/18	2.091			-146.75	USD
		PRHSX						





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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/18/18		FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-1,675.72	USD
12/18/18		LONG TERM CAPITAL GAIN DISTRIBUTION	T ROWE PRICE GLOBAL TECHNOLOGY 1796.7610 SHRS RD 12/14 PD 12/18/18				3,000.59	USD
12/18/18		PRGTX SHORT TERM CAPITAL GAIN DISTRIBUTION	T ROWE PRICE GLOBAL TECHNOLOGY 1796.7610 SHRS RD 12/14 PD 12/18/18				2,407.66	USD
12/18/18		PRGTX REINVEST CASH INCOME	T ROWE PRICE GLOBAL TECHNOLOGY 1796.7610 SHRS SHRS PURCH. AT \$12.11000 RD 12/14 PD 12/18/18	247.778			-3,000.59	USD
12/18/18		PRGTX REINVEST CASH INCOME	T ROWE PRICE GLOBAL TECHNOLOGY 1796.7610 SHRS SHRS PURCH. AT \$12.11000 RD 12/14 PD 12/18/18	198.816			-2,407.66	USD
12/18/18		LONG TERM CAPITAL GAIN DISTRIBUTION	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS RD 12/14 PD 12/17/18				975.74	USD
12/18/18		POGRX CASH DIVIDEND RECEIVED	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS RD 12/14 PD 12/17/18				174.05	USD
12/18/18		POGRX SHORT TERM CAPITAL GAIN DISTRIBUTION	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS RD 12/14 PD 12/17/18				50.43	USD
12/18/18		POGRX REINVEST CASH INCOME	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS SHRS PURCH. AT \$35.14000 RD 12/14 PD 12/17/18	1.435			-50.43	USD
12/18/18		POGRX REINVEST CASH INCOME	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS SHRS PURCH. AT \$35.14000 RD 12/14 PD 12/17/18	27.767			-975.74	USD
12/19/18		FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-174.05	USD
12/19/18		LONG TERM CAPITAL GAIN DISTRIBUTION	ALGER SPECTRA FUND CLASS A 1399.0290 SHRS RD 12/17 PD 12/18/18				3,080.52	USD
12/19/18		SPECK LONG TERM CAPITAL GAIN DISTRIBUTION	VANGUARD INTERNATIONAL GROWTH FUND 789.240 SHRS RD 12/17 PD 12/19/18				722.45	USD
12/19/18		VWIGX CASH DIVIDEND RECEIVED	VANGUARD INTERNATIONAL GROWTH FUND 789.240 SHRS RD 12/17 PD 12/19/18				318.13	USD



Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/19/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	VANGUARD INTERNATIONAL GROWTH FUND 789.2140 SHRS RD 12/17 PD 12/19/18				9.63	USD
12/19/18		VMIGX						
12/19/18		REINVEST CASH INCOME	VANGUARD INTERNATIONAL GROWTH FUND 789.2140 SHRS SHRS PURCH. AT \$25.13000 RD 12/17 PD 12/19/18	0.363			9.63	USD
12/19/18		VMIGX						
12/19/18		REINVEST CASH INCOME	VANGUARD INTERNATIONAL GROWTH FUND 789.2140 SHRS SHRS PURCH. AT \$25.13000 RD 12/17 PD 12/19/18	28.749			-722.45	USD
12/20/18		VMIGX						
12/20/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-3,398.65	USD
12/21/18		USD999997						
12/21/18		CASH DIVIDEND RECEIVED	DODGE & COX INTERNATIONAL FUND 561.160 SHRS RD 12/18 PD 12/20/18				627.61	USD
12/21/18		DODFX						
12/21/18		LONG TERM CAPITAL GAIN DISTRIBUTION	DODGE & COX STOCK FUND 168.4750 SHRS RD 12/18 PD 12/20/18				1,993.03	USD
12/21/18		DODGX						
12/21/18		CASH DIVIDEND RECEIVED	DODGE & COX STOCK FUND 168.4750 SHRS RD 12/18 PD 12/20/18				115.24	USD
12/21/18		DODGX						
12/21/18		REINVEST CASH INCOME	DODGE & COX STOCK FUND 168.4750 SHRS SHRS PURCH. AT \$173.08000 RD 12/18 PD 12/20/18	1.1515			-1,993.03	USD
12/21/18		DODGX						
12/21/18		CASH DIVIDEND RECEIVED	25 SHRS NVIDIA CORP COM RD 11/30 PD 12/21/18				4.00	USD
12/24/18		NVDA						
12/24/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-746.85	USD
12/24/18		USD999997						
12/24/18		LONG TERM CAPITAL GAIN DISTRIBUTION	NEW WORLD FUND CLASS F-2 336.5460 SHRS RD 12/20 PD 12/24/18				240.13	USD
12/24/18		NFFFX						
12/24/18		CASH DIVIDEND RECEIVED	NEW WORLD FUND CLASS F-2 336.5460 SHRS RD 12/20 PD 12/24/18				263.41	USD
12/24/18		NFFFX						
12/24/18		REINVEST CASH INCOME	NEW WORLD FUND CLASS F-2 336.5460 SHRS SHRS PURCH. AT \$55.68000 RD 12/20 PD 12/24/18	4.313			-240.13	USD
12/24/18		NFFFX						
12/24/18		CASH DIVIDEND RECEIVED	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES 1057.1110 SHRS RD 12/20 PD 12/24/18				362.69	USD
12/24/18		VSMAX						
12/24/18		REINVEST CASH INCOME	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES 1057.1110 SHRS SHRS PURCH. AT \$60.73000 RD 12/20 PD 12/24/18	5.972			-362.69	USD
12/24/18		VSMAX						
12/26/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-263.41	USD
12/26/18		USD999997						
12/26/18		PURCHASED	BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A	50.000			156.5485	
12/26/18		BIDU	SOLICITED ORDER DISCRETION EXERCISED				-7,827.43	USD

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/28/18		FDIC INSURED BANK WITHDRAWAL	INSURED DEPOSIT PROGRAM F				7,807.43	USD
12/28/18		USD999997						
12/28/18		CASH DIVIDEND RECEIVED	25 SHRS GOLDMAN SACHS GROUP INC COM RD 11/30 PD				20.00	USD
12/28/18		GS	12/28/18					
12/28/18		CASH DIVIDEND RECEIVED	PARVASSUS MID-CAP FUND 2157.6680 SHRS RD 12/26 PD				474.69	USD
12/28/18		PARMX	12/27/18					
12/31/18		CASH DIVIDEND RECEIVED	300 SHRS C H ROBINSON WORLDWIDE INC COM NEW RD				150.00	USD
12/31/18		CHRW	12/17 PD 12/31/18					
Total Value of Transactions					\$0.00		\$588.69	USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	\$20.50	5,995.92
Other Dividends	4,745.81	6,403.76
Interest Income		
FDIC Insured Bank Deposits	1.63	23.70
Expenses		
Withholding Taxes	0.00	-34.24
Total Dividends, Interest, Income and Expenses	\$5,267.94	\$12,389.14
Distributions		
Long - Term Capital Gain Distributions	15,932.40	18,411.98
Short - Term Capital Gain Distributions	2,973.52	2,987.00
Total Distributions	\$18,905.92	\$21,398.99

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Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account. Its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial institution's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.





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Important Information and Disclosures (continued)

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.



TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENT AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU. USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT ARE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT, YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES (REGULATION NMS—RULE 607(A)(1)–(2))

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



Attachment 3



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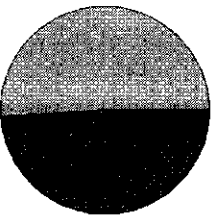
SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
8714 RAMBLING ROSE DR
OOLTEWAH TN 37363-7118

Your Financial Advisor is:
WARD PETTY
(423) 668-5411

The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



Percent	Asset Type	Prior Year-End	Last Period	This Period
49%	Cash, Money Funds, and Bank Deposits	218.40	4,222.46	4,226.58
51%	Alternative Investments	10,925.00	7,471.95	4,347.32
100%	Account Total (Pie Chart)	\$11,143.40	\$11,694.41	\$8,573.90

Please review your allocation periodically with your Financial Advisor.
See the Asset Summary Disclosure and Footnotes section for important information regarding your Asset Summary.

Portfolio at a Glance

December 1, 2018 - December 31, 2018
Account Number: EBR-299133

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$11,694.41	\$11,143.40
Deposits (Cash & Securities)	3,993.57	7,987.14
Withdrawals (Cash & Securities)	-3,993.57	-3,993.57
Dividends, Interest and Other Income	4.12	14.61
Net Change in Portfolio ¹	-3,124.63	-6,577.68
ENDING ACCOUNT VALUE	\$8,573.90	\$8,573.90
Estimated Annual Income	\$14.61	
¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.		



Asset Summary Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

Summary of Gains and Losses

	This Period	Realized	Year-to-Date	Unrealized
Long-Term Gain/Loss	-3,124.63		-3,124.63	0.00
Net Gain/Loss	-3,124.63		-3,124.63	0.00

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier and tax documents are no exception. With anywhere, anytime access and the ability to download, then e-mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today - and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in. [GO PAPERLESS!](#)

If you currently have online access to your accounts through NetXInvestor, you can sign up for e-delivery of your statements and confirms, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial advisor and the process can be expedited for you.

This convenient e-delivery service is available even if you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery for you.

Make the move to paperless today!

Client Service Information

Your Financial Advisor is: C90

WARD PETTY
1101 BROAD STREET, SUITE 105
CHATTANOOGA TN 37402-2943

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: MODERATE GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.

Account Number: EBR-299133

A00986257-30072

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December 31, 2018 - December 31, 2018
SEP FBO CHARLES FLEISCHMANN

Your Account Information (continued)

RETIREMENT INFORMATION

Year-End Fair Market Value (12/31/2018): \$8,573.90. (Includes the fair market value of hard-to-value assets)

Year-End Fair Market Value of Hard-To-Value Assets (12/31/2018): \$4,347.32.

The fair market value for hard-to-value assets has been provided for Individual Retirement Accounts, based on Internal Revenue Service (IRS) reporting requirements. Hard-to-value assets for tax reporting purposes are generally defined as assets that are not readily tradable.

IRS Classifications for hard-to-value assets within your account:

E = Ownership interest in a partnership, trust, or similar entity (unless the entity is traded on an established securities market).

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2018.

Please verify this information, and if you have any changes, and/or questions, please contact your tax professional or Financial Advisor.

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds:

Default Method for Stocks in a Dividend Reinvestment Plan:

Default Method for all Other Securities:

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method:

Accrual market discount method for all other bond types:

Include market discount in income annually:

First In First Out	First In First Out
First In First Out	First In First Out
Yes	Constant Yield Method
No	

ELECTRONIC DELIVERY

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

Client Account Beneficiary Information

Beneficiary Name	Primary/Contingent	Relationship to Account Holder(s)	Allocation Percent
BRENDA FLEISCHMANN	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Advisor to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Account Number: EBR-299133

A0096020539002

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Pershing LLC member FINRA, NYSE, SIPC

Retirement Account Transactions

	Tax Year - 2018		Tax Year - 2017	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions	3,993.57	3,993.57	0.00	0.00
Participant				
Total Contributions	\$3,993.57	\$3,993.57	\$0.00	\$0.00

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
	Sweep FDIC Insured Bank Deposits			
	INSURED DEPOSIT PROGRAM F			
Activity Ending: 12/31/18			4,222.46	4,222.46
12/01/18	Opening Balance		4.12	4,226.58
12/14/18	Deposit	INTEREST CREDITED APY 1.15% Citibank N.A.		4,226.58
12/17/18		A/O 12/17 \$4,226.58		
12/31/18	Closing Balance			\$4,226.58
	Total FDIC Insured Bank Deposits			

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS, AND BANK DEPOSITS 49.00% of Portfolio									
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM F									
12/01/18	4,226.580	N/A	12/31/18	4,222.46	4,226.58	1.85	4.12	N/A	N/A
DEUTSCHE BANK INS DEPOSITE									
12/01/18		N/A	12/31/18	0.00	0.00	0.00	10.49	N/A	N/A
Total FDIC Insured Bank Deposits				\$4,222.46	\$4,226.58	\$1.85	\$14.61		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS				\$4,222.46	\$4,226.58	\$1.85	\$14.61		





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December 2016 - December 31, 2018
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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Estimated Value	Unrealized Gain/Loss	Annual Income	Estimated Yield
ALTERNATIVE INVESTMENTS 51.00% of Portfolio								
TOWERHILL LONG SHORT EQUITY LLC								
Valuation Date: 12/21/18 Valuation Code: B V C			Security Identifier: 891LP1239					
02/12/13 3.12H	4,347,320	1.0000	4,347.32	N/A	4,347.32	0.00		
TOTAL ALTERNATIVE INVESTMENTS			\$4,347.32		\$4,347.32	\$0.00		\$0.00

Valuation Codes:

B = This is an estimate of the value of the security.

C = The source of this information is the manager or the administrator of the program.

V = The market price of N/A is displayed because the investment does not have a per unit value. The investment value is an estimated value provided by the sponsor or the sponsor's pricing agent and may not include all fees and/or charges. Please contact your investment professional or financial organization for additional information.

TOTAL PORTFOLIO HOLDINGS

Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
\$8,573.90	\$8,573.90	\$0.00	\$0.00	\$14.61

*** Noncovered under the cost basis rules as defined below:**

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

3. Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

12. Pershing has received updated cost basis information for either all or some of the shares for this security, therefore cost basis provided on previous client brokerage statements may differ from the new cost basis reported in this section.

H. This security has been denoted as a hard-to-value asset. Hard-to-value assets for tax reporting purposes are generally defined as assets that are not readily tradable.

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the manager or the administrator of the Alternative Investment product. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative investment securities are not listed on a securities exchange, generally illiquid, and if you are able to sell the securities, the price received may be less than the per share estimate value provided in your account statement. Please note the estimated product values for Alternative Investments, which are provided by the manager or the administrator of the Alternative Investment product, may not reflect recent activity or current values and may not reflect an independent evaluation of the Alternative Investment



Portfolio Holdings (continued)

product

(Where no value is indicated, please note that:

- Alternative investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy, since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment trading or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.perish.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund dividend income, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Perishing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of the information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option account, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Perishing will execute foreign currency transactions as principal for your account. Perishing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you restrict your financial organization otherwise. Perishing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. The conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositaries) will be billed at the rates such agents use.





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December 2018 - December 31, 2018
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Portfolio Holdings Disclosures (continued)

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings

HARD TO VALUE POSITIONS

Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not expect that the fair market value for hard-to-value assets reflects the amount you would actually receive in the event of a sale.

MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor.

Schedule of Realized Gains and Losses Year-to-Date

Description	Date		Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
	Disposed	Acquired						
Long Term								
TOWERHILL LONG SHORT	12/28/18	02/12/13	Noncovered ¹	First In First Out / REDEMPTION	3,124.630	0.00	3,124.63	-3,124.63
C								
Security Identifier: 891LPI239								
Total Long Term						0.00	3,124.63	-3,124.63
Total Short and Long Term						0.00	3,124.63	-3,124.63

¹ Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Account Number: EBR-299133

AD0696205-30072

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Schedule of Realized Gains and Losses Year-to-Date (continued)

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

¹ The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	\$4.12	\$0.00	\$4.12	\$14.61	\$0.00	\$14.61
Cash						
Withdrawals	0.00	-3,993.57	-3,993.57	0.00	-3,993.57	-3,993.57
Deposits	3,993.57	0.00	3,993.57	7,987.14	0.00	7,987.14
Total Cash	\$3,993.57	-\$3,993.57	\$0.00	\$7,987.14	-\$3,993.57	\$3,993.57
FDIC Insured Bank Deposits	\$0.00	-\$4.12	-\$4.12	\$4,222.46	-\$8,230.64	-\$4,008.18
Totals	\$3,997.69	-\$3,997.69	\$0.00	\$12,224.21	-\$12,224.21	\$0.00

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	INSURED DEPOSIT INTEREST REINVESTED				4.12	USD
12/17/18	12/14/18	PER987762 FDIC INSURED BANK DEPOSITS INTEREST RECEIVED	INSURED DEPOSIT INTEREST CREDITED				4.12	USD
12/26/18	08/31/18	PER987762 CURRENT YEAR CONTRIBUTION USD999997	A/O 08/31/2018				3,993.57	USD





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December 31, 2018
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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/28/18	08/31/18	FEDERAL FUND WIRE ADJUSTMENT USD9999997	REV A/O 08/31/2018				-3,993.57	USD
12/28/18		SECURITY REDEEMED 89ILP1239	TOWERHILL LONG SHORT EQUITY LLC AS PER AUDIT VALUATION	-3,124.630			0.00	USD
Total Value of Transactions					\$0.00		\$0.00	USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	4.12	14.61
Total Dividends, Interest, Income and Expenses	\$4.12	\$14.61

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.



Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.

Account Number: EBR-299133

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TRANSACTIONS
• ALL OTHERS

- TRANSACTIONS**
- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET.
 - TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
 - YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
 - **FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
 - **DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIALS PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
 - **MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED BY PERSHING'S REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE.
 - **TAX INFORMATION:** RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY THE FEDERAL RESERVE.
 - **AFTER:** TAX INFORMATION

TERMS AND CONDITIONS

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER OR BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES WHICH AVAILABLE PRICE TRANSACTION IS COMPLETELY HEDGED.
- A FINANCIAL INSTITUTION OF PERSHING IS INDICATED ON THE FRONT OF THIS STATEMENT OF PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR PERSONAL REQUEST.
- VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDRESS, THIS STATEMENT SHOULD BE MAILED UPON YOUR PERSONAL REQUEST.

PAYMENT FOR ORDER FLOW AND ORDER BOOK
RULE 807(A)(1)-(2)
 PERSHING SECURITIES, INC.
 100 WALL STREET, 10TH FLOOR
 NEW YORK, NY 10038
 TEL: 212 512 2000
 FAX: 212 512 2001
 WWW.PERSHING.COM

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...ION NIMS--
...ELECTRONIC COMMERCE
...BUSINESS PROCESS
...VENUES PROVIDED
...EXECUTION DEPENDS
...REQUIREMENTS

CERTAIN OPTION, PERSHING THE DETAILS OF THE CHARACTERISTICS OF THESE PAYMENTS AND DURING EXTENDED ORDERS TO ITS AFFILIATE. IN ADDITION, PERSHING ROUTES CERTAIN LISTED OPTIONS ORDER FLOW. BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, TRANSMITTED AND EXCHANGE LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED CONTACTS MARKETS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY REVIEWS REPORTS FOR QUALITY OF EXECUTION SUPERIOR TO THE NBBO. PERSHING, COMPENSATION IS GENERALLY IN THE FORM OF A PER DITION CONTRACT CASH PAYMENT.

WHENEVER REMAINING DUE PERSHING OR BE OR REDUCE IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, YOUR ACCOUNT ARE OR MAY BE WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED FOR CARRYING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROMISED BY LAW.

Account Number: EBR-299133
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Attachment 4



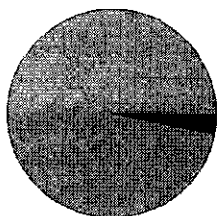
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 INVESTMENTS for GENERATIONS
 One North Brentwood Blvd., Suite 850
 Saint Louis, MO 63103 314-726-1600

CHARLES J FLEISCHMANN
 BRENDA M FLEISCHMANN JT TEN
 8714 RAMBLING ROSE DR
 OOLTEWAH TN 37363-7118

Your Financial Advisor Is:
 WARD PETTY
 (423) 668-5411

The Bank Deposits in your account are FDIC insured bank deposits.
 FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



Percent	Asset Type	Prior Year-End	Last Period	This Period
3%	Cash, Money Funds, and Bank Deposits	2,468.71	2,232.69	2,730.14
97%	Exchange-Traded Products	105,462.66	103,946.48	96,239.99
100%	Account Total (Pie Chart)	\$107,931.37	\$106,179.17	\$98,970.13

Please review your allocation periodically with your Financial Advisor.

December 1, 2018 - December 31, 2018
 Account Number: ETF-005005

Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$106,179.17	\$107,931.37
Dividends, Interest and Other Income	497.45	2,151.83
Net Change in Portfolio ¹	-7,706.49	-11,113.07
ENDING ACCOUNT VALUE	\$98,970.13	\$98,970.13
Estimated Annual Income	\$2,118.67	

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

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Additional Information

Description	This Period	Year-to-Date
Securities Bought and Sold	\$0.00	-\$1,890.40

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-.587.46	-6,115.23
Long-Term Gain/Loss	0.00	7,625.86	-.880.33
Net Gain/Loss	0.00	7,038.40	-6,995.56

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier and tax documents are no exception. With anywhere, anytime access and the ability to download, then e-mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in.

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This convenient e-delivery service is available even if you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery for you.

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Client Service Information

Your Financial Advisor is: C90

WARD PETTY
1101 BROAD STREET, SUITE 105
CHATTANOOGA TN 37402-2943

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Your Account Information

INVESTMENT OBJECTIVE
Investment Objective: MODERATE GROWTH
Please review your investment objective. If you wish to make a change or have any questions, please contact your Financial Advisor.

Account Number: ETF-005005

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 Saint Louis, MO 63105
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December 2018 - December 31, 2018
 CHARLES J. FLEISCHMANN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out
 BOND AMORTIZATION ELECTIONS
 Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
INSURED DEPOSIT PROGRAM F				
Activity Ending: 12/31/18				
12/01/18	Opening Balance		2,232.69	2,232.69
12/10/18	Deposit	DEPOSIT	67.92	2,300.61
12/14/18	Deposit	INTEREST CREDITED	2.19	2,302.80
12/17/18				
		APY 1.15%		
		Citibank N.A.		2,302.80
		A/O 12/17 \$2302.80		
12/24/18	Deposit	DEPOSIT	256.71	2,559.51
12/26/18	Deposit	DEPOSIT	63.52	2,623.03
12/28/18	Deposit	DEPOSIT	15.49	2,638.52
12/31/18	Deposit	DEPOSIT	18.96	2,657.48
12/31/18	Closing Balance			\$2,657.48
Total FDIC Insured Bank Deposits				

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Account Number: ETF-005005

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Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS, AND BANK DEPOSITS 3.00% of Portfolio									
Cash Balance				0.00	72.66				
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM F									
12/01/18	2,657,480	N/A	12/31/18	2,232.69	2,657.48	1.09	2.19	N/A	N/A
DEUTSCHE BANK INS DEPOSITE									
12/01/18		N/A	12/31/18	0.00	0.00	0.00	18.64	N/A	N/A
Total FDIC Insured Bank Deposits				\$2,232.69	\$2,657.48	\$1.09	\$20.83		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS				\$2,232.69	\$2,730.14	\$1.09	\$20.83		
EXCHANGE-TRADED PRODUCTS 97.00% of Portfolio									
ISHARES GOLD TR ISHARES									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	276,000	12,7880	3,529.49	12,2900	3,392.04	-137.45		198.29	1.27%
Total Covered									
Security Identifier: IAU									
CUSIP: 464285105									
ISHARES TR S&P 500 GROWTH ETF									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	103,000	163,3320	16,823.21	15,519.01	-1,304.20			198.29	1.27%
Total Covered									
Security Identifier: IGV									
CUSIP: 464287309									
ISHARES TR S&P 500 VALUE ETF									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	99,000	119,3540	11,816.07	10,012.86	-1,803.21			274.80	2.74%
Total Covered									
Security Identifier: IVE									
CUSIP: 464287408									
ISHARES TR S&P MIDCAP 400 GROWTH ETF									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	44,000	206,1130	9,068.96	8,414.12	-654.84			95.10	1.13%
Total Covered									
Security Identifier: IJK									
CUSIP: 464287606									
ISHARES TR S&P MIDCAP 400 VALUE ETF									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	41,000	133,3650	5,467.97	5,671.94	203.97			113.87	2.00%
Total Covered									
Security Identifier: IJJ									
CUSIP: 464287705									
ISHARES TR US FINANCIALS ETF INDEX									
FD									
Dividend Option: Cash: Capital Gains Option: Cash									
Multiple Y	41,000	133,3650	5,467.97	5,671.94	203.97			113.87	2.00%
Total Covered									
Security Identifier: IYF									
CUSIP: 464287788									

Account Number: ETF-005005

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December 2018 - December 31, 2018
 CHARLES FLEISCHMANN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EXCHANGE-TRADED PRODUCTS (continued)								
ISHARES TR US FINANCIALS ETF INDEX (continued)								
01/09/17	11,000	102.6920	1,129.61	106.3700	1,170.07	40.46	22.24	1.90%
ISHARES TR S&P SMALL-CAP 800 VALUE ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
Multiple ^y	Total Covered	146,4500	8,054.76	131.8500	7,251.75	-803.01	126.87	1.74%
ISHARES TR S&P SMALL-CAP 600 GROWTH ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
Multiple ^y	Total Covered	169,1750	11,673.08	161.3300	11,131.77	-541.31	102.66	0.92%
ISHARES TR 10-20 YR TREAS BD ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
Multiple ^y	Total Covered	130,6120	2,612.24	133.5100	2,670.20	57.96	57.86	2.16%
ISHARES TR LONG-TERM CORP BD ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
10/18/18	61,000	56.6280	3,454.32	56.3000	3,434.30	-20.02	156.74	4.56%
ISHARES IBONDS DEC 2023 TERM CORPORATE ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
01/25/18	113,000	24.9200	2,815.95	24.1900	2,733.47	-82.48	84.70	3.09%
ISHARES IBONDS DEC 2025 CORPORATE ETF								
Dividend Option: Cash, Capital Gains Option: Cash								
01/25/18	114,000	24.8400	2,831.73	23.6000	2,690.40	-141.33	94.73	3.52%
ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF FD								
Dividend Option: Cash, Capital Gains Option: Cash								

Account Number: ETF-005005

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Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
EXCHANGE-TRADED PRODUCTS (continued)									
ISHARES TR IBONDS DEC 2021 TERM (continued)									
Multiple ^Y	Total Covered	24.7250	4,944.90	24.3600	4,872.00	-72.90		126.77	2.60%
	200,000								
ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF									
Dividend Option: Cash; Capital Gains Option: Cash									
Multiple ^Y	Total Covered	24.7030	2,890.26	23.3900	2,736.63	-153.63		100.09	3.65%
	117,000								
ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF									
Dividend Option: Cash; Capital Gains Option: Cash									
10/18/18	108,000	24.9400	2,693.52	24.9446	2,694.02	0.50		156.45	5.88%
SPDR INDEX SHS FDS SPDR PORT DEVELOPED WORLD EX-US ETF									
Dividend Option: Cash; Capital Gains Option: Cash									
Multiple ^Y	Total Covered	29.2860	7,585.09	26.4500	6,850.55	-734.54		210.58	3.07%
	259,000								
SELECT SECTOR SPDR TR MAT15									
Dividend Option: Cash; Capital Gains Option: Cash									
Multiple ^Y	Total Covered	56.1200	1,234.64	50.5200	1,111.44	-123.20		24.49	2.20%
	22,000								
SELECT SECTOR SPDR TR ENERGY									
Dividend Option: Cash; Capital Gains Option: Cash									
10/26/17	16,000	67.1160	1,073.85	57.3500	917.60	-156.25		32.48	3.54%
VANGUARD INTL EQUITY INDEX FDS FTSE EUROPE ETF									
Dividend Option: Cash; Capital Gains Option: Cash									
Multiple ^Y	Total Covered	57.9660	3,535.90	48.6200	2,965.82	-570.08		117.12	3.94%
	61,000								
TOTAL EXCHANGE-TRADED PRODUCTS			\$103,235.55		\$96,239.99	-\$6,995.56		\$2,097.84	
TOTAL PORTFOLIO HOLDINGS									
			Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	
			\$105,965.69		\$98,970.13	-\$6,995.56	\$0.00	\$2,118.67	



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December 2018, December 31, 2018
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Portfolio Holdings (continued)

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund dividend distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional

Account Number: ETF-005005

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Portfolio Holdings Disclosures (continued)

Option Disclosure (continued)
information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings HARD TO VALUE POSITIONS

Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not expect that the fair market value for hard-to-value assets reflects the amount you would actually receive in the event of a sale.
MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor.

Schedule of Realized Gains and Losses Year-to-Date

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term								
ISHARES GOLD TR ISHA	10/18/18	04/19/18	Covered	First In First Out / SELL	9.000	105.81	116.21	-10.40
Security Identifier: 46426505								
ISHARES TR IBOX USD	01/25/18	04/26/17	Covered	First In First Out / SELL	1.000	120.61	118.67	1.94
E CORP BD ETF	01/25/18	07/24/17	Covered	First In First Out / SELL	17.000	2,050.45	2,063.97	-13.52
Security Identifier: 464287242	01/25/18	10/26/17	Covered	First In First Out / SELL	1.000	120.62	120.63	-0.01
Total					19.000	2,291.68	2,303.27	-11.59
ISHARES TR S&P 500 G	07/20/18	01/25/18	Covered	First In First Out / SELL	5.000	847.25	818.19	29.06
Security Identifier: 464287309	10/18/18	01/25/18	Covered	First In First Out / SELL	3.000	498.68	490.92	7.76
Total					8.000	1,345.93	1,309.11	36.82





BENJAMIN F. EDWARDS & CO.
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Saint Louis, MO 63105 314-726-1600

December 31, 2018 - December 31, 2018
CHARLES F. FLEISCHMANN

Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term (continued)								
ISHARES TR S&P 500 V	10/18/18	01/25/18	Covered	First In First Out / SELL	4.000	446.64	480.29	-33.65
Security Identifier: 464287408								
ISHARES TR BARCLAYS	10/18/18	01/25/18	Covered	First In First Out / SELL	25.000	2,854.69	3,099.91	-245.22
AS BD ETF								
Security Identifier: 464287432								
ISHARES TR BARCLAYS	01/25/18	04/26/17	Covered	First In First Out / SELL	1.000	104.02	106.29	-2.27
EAS BD ETF	01/25/18	07/24/17	Covered	First In First Out / SELL	19.000	1,976.44	2,034.81	-58.37
Security Identifier: 464287440	01/25/18	10/26/17	Covered	First In First Out / SELL	2.000	208.05	211.26	-3.21
Total					22.000	2,288.51	2,352.36	-63.85
ISHARES TR S&P MIDCA	04/19/18	04/26/17	Covered	First In First Out / SELL	2.000	442.10	389.48	52.62
TH ETF								
Security Identifier: 464287606								
ISHARES TR DOW JONES	01/25/18	04/26/17	Covered	First In First Out / SELL	8.000	1,386.67	1,098.55	288.12
SHARES U.S. TECHNOL F	04/19/18	04/26/17	Covered	First In First Out / SELL	7.000	1,208.10	961.24	246.86
Security Identifier: 464287721	04/19/18	10/26/17	Covered	First In First Out / SELL	1.000	172.59	155.54	17.05
Total					16.000	2,767.36	2,215.33	552.03
ISHARES TR S&P SMALL	01/25/18	07/24/17	Covered	First In First Out / SELL	9.000	1,421.27	1,275.12	146.15
ALUE ETF	07/20/18	07/24/17	Covered	First In First Out / SELL	2.000	337.68	283.36	54.32
Security Identifier: 464287879								
Total					11.000	1,758.95	1,558.48	200.47
ISHARES TR S&P SMALL	07/20/18	07/24/17	Covered	First In First Out / SELL	5.000	993.77	791.15	202.62
ROWTH ETF								
Security Identifier: 464287887								
ISHARES TR MSCI ACWI	01/25/18	07/24/17	Covered	First In First Out / SELL	3.000	159.75	140.55	19.20
Security Identifier: 464288240	10/18/18	04/19/18	Covered	First In First Out / SELL	3.000	132.98	151.66	-18.68
	10/18/18	07/20/18	Covered	First In First Out / SELL	9.000	398.92	431.81	-32.89
Total					15.000	691.65	724.02	-32.37



Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term (continued)								
ISHARES TR BOX \$ H	04/19/18	04/26/17	Covered	First In First Out / SELL	1,000	86.00	88.17	-2.17
CORP BD ETF	04/19/18	07/24/17	Covered	First In First Out / SELL	1,000	86.00	88.68	-2.68
Security Identifier: 46428533	04/19/18	10/26/17	Covered	First In First Out / SELL	1,000	86.00	88.41	-2.41
	04/19/18	01/25/18	Covered	First In First Out / SELL	2,000	172.02	175.46	-3.44
Total					5,000	430.02	440.72	-10.70
ISHARES TR MBS ETF	10/18/18	10/26/17	Covered	First In First Out / SELL	1,000	102.36	106.56	-4.20
Security Identifier: 46428586	10/18/18	01/25/18	Covered	First In First Out / SELL	3,000	307.07	317.35	-10.28
Total					4,000	409.43	423.91	-14.48
ISHARES TR 1-3 YR CR	01/25/18	07/24/17	Covered	First In First Out / SELL	10,000	1,043.71	1,054.69	-10.98
Security Identifier: 46428646								
ISHARES INC CORE MSC	10/18/18	04/19/18	Covered	First In First Out / SELL	94,000	4,459.49	5,444.17	-984.68
MKTS ETF	10/18/18	07/20/18	Covered	First In First Out / SELL	12,000	569.30	637.17	-67.87
Security Identifier: 46434303								
Total					106,000	5,028.79	6,081.34	-1,052.55
ISHARES TRIBONDS DE	04/19/18	01/25/18	Covered	First In First Out / SELL	3,000	73.10	74.19	-1.09
M CORPORATE ETF FD	10/18/18	01/25/18	Covered	First In First Out / SELL	5,000	121.25	123.65	-2.40
Security Identifier: 464343K5								
Total					8,000	194.35	197.84	-3.49
SPDR SER TR BLOOMBER	04/19/18	07/24/17	Covered	First In First Out / SELL	3,000	108.15	111.92	-3.77
HIGH YIELD BD ETF	04/19/18	10/26/17	Covered	First In First Out / SELL	2,000	72.10	74.40	-2.30
Security Identifier: 784644A7	04/19/18	01/25/18	Covered	First In First Out / SELL	6,000	216.29	221.46	-5.17
Total					11,000	396.54	407.78	-11.24
SPDR SER TR BLOOMBER	01/25/18	07/24/17	Covered	First In First Out / SELL	51,000	3,010.81	3,069.18	-58.37
INTER TERM TREAS ETF	01/25/18	10/26/17	Covered	First In First Out / SELL	1,000	59.04	59.74	-0.70
Security Identifier: 78464A672								
Total					52,000	3,069.85	3,128.92	-59.07
VANGUARD INTL EQUITY	01/25/18	07/24/17	Covered	First In First Out / SELL	8,000	403.44	341.43	62.01
FTSE EMERGING MKTS ETF	10/18/18	04/19/18	Covered	First In First Out / SELL	6,000	226.42	279.94	-53.52
Security Identifier: 922042858	10/18/18	07/20/18	Covered	First In First Out / SELL	14,000	528.33	600.54	-72.21
Total					28,000	1,158.19	1,221.91	-63.72
VANGUARD INTL EQUITY	01/25/18	10/26/17	Covered	First In First Out / SELL	1,000	63.01	58.21	4.80
FTSE EUROPE ETF								
Security Identifier: 922042874								





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December 31, 2018 - September 30, 2018
CHARLES J. REISCHMANN

Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term (continued)								
VANGUARD SCOTTS DALE	07/20/18	10/26/17	Covered	First In First Out / SELL	1,000	88.27	93.67	-5.40
RD LONG-TERM CORPORATE BOND IN	07/20/18	01/25/18	Covered	First In First Out / SELL	1,000	88.27	95.07	-6.80
DEX FUND	07/20/18	04/19/18	Covered	First In First Out / SELL	1,000	88.27	89.58	-1.31
Security Identifier: 92206083								
Total					3,000	264.81	278.32	-13.51
Total Short Term								
						28,045.79	28,633.25	-587.46
Long Term								
ISHARES TR IBOXX USD	01/25/18	10/19/16	Covered	First In First Out / SELL	30,000	3,618.45	3,673.17	-54.72
E CORP BD ETF								
Security Identifier: 464287242								
ISHARES TR BARCLAYS	01/25/18	10/19/16	Covered	First In First Out / SELL	27,000	2,808.62	2,989.22	-180.60
EAS BD ETF								
Security Identifier: 464287440								
ISHARES TR S&P MIDCA	04/19/18	10/22/14	Covered	First In First Out / SELL	9,000	1,989.44	1,357.49	631.95
TH ETF	04/19/18	10/21/15	Covered	First In First Out / SELL	2,000	442.10	326.52	115.58
Security Identifier: 464287606	04/19/18	01/21/16	Covered	First In First Out / SELL	2,000	442.10	295.36	146.74
Total					13,000	2,873.64	1,979.37	894.27
ISHARES TR S&P MIDCA	01/25/18	01/03/14	Covered	First In First Out / SELL	5,000	822.03	577.30	244.73
E ETF	01/25/18	04/22/14	Covered	First In First Out / SELL	5,000	822.02	602.03	219.99
Security Identifier: 464287705	04/19/18	04/22/14	Covered	First In First Out / SELL	8,000	1,262.23	963.26	298.97
	04/19/18	10/22/14	Covered	First In First Out / SELL	5,000	788.90	605.69	183.21
	07/20/18	10/22/14	Covered	First In First Out / SELL	1,000	165.81	121.14	44.67
Total					24,000	3,860.99	2,869.42	991.57
ISHARES TR DOW JONES	01/25/18	04/22/14	Covered	First In First Out / SELL	11,000	1,906.68	994.72	911.96
SHARES US TECHNO F	01/25/18	04/22/15	Covered	First In First Out / SELL	5,000	866.67	535.34	331.33
Security Identifier: 464287721	01/25/18	10/21/15	Covered	First In First Out / SELL	5,000	866.67	524.88	341.79
	01/25/18	07/20/16	Covered	First In First Out / SELL	6,000	1,040.01	669.96	370.05
	01/25/18	01/09/17	Covered	First In First Out / SELL	4,000	693.34	494.48	198.86
Total					31,000	5,373.37	3,219.38	2,153.99



Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued)								
ISHARES TR US FINANC	01/25/18	01/09/17	Covered	First In First Out / SELL	29.000	3,634.11	2,978.06	656.05
NDEX FD								
Security Identifier: 464287788								
ISHARES TR MSCI ACWI	10/18/18	07/24/17	Covered	First In First Out / SELL	105.000	4,654.13	4,919.24	-265.11
Security Identifier: 464286240								
ISHARES TR BOX \$ H	04/19/18	01/09/17	Covered	First In First Out / SELL	27.000	2,322.10	2,355.48	-33.38
CORP BD ETF								
Security Identifier: 464286513								
ISHARES TR MBS ETF	10/18/18	01/09/17	Covered	First In First Out / SELL	27.000	2,763.65	2,879.27	-115.62
Security Identifier: 464286588	10/18/18	04/26/17	Covered	First In First Out / SELL	1.000	102.36	106.67	-4.31
Total					28.000	2,866.01	2,985.94	-119.93
SPDR S&P 500 TR BLOOMBER	04/19/18	01/09/17	Covered	First In First Out / SELL	65.000	2,343.18	2,389.08	-45.90
HIGH YIELD BD ETF								
Security Identifier: 784644417								
SELECT SECTOR SPDR T	01/25/18	10/19/16	Covered	First In First Out / SELL	12.000	763.82	566.13	197.69
Security Identifier: 813691100								
SELECT SECTOR SPDR T	01/25/18	10/22/14	Covered	First In First Out / SELL	5.000	447.36	317.59	129.77
ARE	01/25/18	04/20/16	Covered	First In First Out / SELL	8.000	715.78	568.88	146.90
Security Identifier: 813691209	01/25/18	07/20/16	Covered	First In First Out / SELL	10.000	894.72	746.37	148.35
	01/25/18	10/19/16	Covered	First In First Out / SELL	14.000	1,252.61	982.05	270.56
	01/25/18	01/09/17	Covered	First In First Out / SELL	31.000	2,773.62	2,201.00	572.62
Total					68.000	6,084.09	4,815.89	1,268.20
SELECT SECTOR SPDR T	01/25/18	01/09/17	Covered	First In First Out / SELL	20.000	1,167.66	1,033.60	134.06
STAPLES								
Security Identifier: 813691308								
SELECT SECTOR SPDR T	01/25/18	04/22/14	Covered	First In First Out / SELL	13.000	1,400.08	840.81	559.27
DISCRETIONARY	01/25/18	04/22/15	Covered	First In First Out / SELL	4.000	430.79	304.11	126.68
Security Identifier: 813691407	01/25/18	10/21/15	Covered	First In First Out / SELL	4.000	430.79	314.39	116.40
	01/25/18	01/21/16	Covered	First In First Out / SELL	1.000	107.70	72.85	34.85
	01/25/18	07/20/16	Covered	First In First Out / SELL	4.000	430.79	325.00	105.79
	01/25/18	01/09/17	Covered	First In First Out / SELL	15.000	1,615.48	1,251.57	363.91
Total					41.000	4,415.63	3,108.73	1,306.90
SELECT SECTOR SPDR T	01/25/18	07/20/16	Covered	First In First Out / SELL	6.000	463.13	413.22	49.91
Security Identifier: 813691506	01/25/18	01/09/17	Covered	First In First Out / SELL	22.000	1,698.13	1,649.39	48.74
Total					28.000	2,161.26	2,062.61	98.65





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December 31, 2018
CHARLES FLEISCHMANN

Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued)								
SELECT SECTOR SPDR T	01/25/18	04/22/14	Covered	First In First Out / SELL	6,000	477.38	318.83	158.55
Security Identifier: 8369704	01/25/18	04/22/15	Covered	First In First Out / SELL	1,000	79.56	56.33	23.23
	01/25/18	10/21/15	Covered	First In First Out / SELL	2,000	159.13	106.19	52.94
	01/25/18	07/20/16	Covered	First In First Out / SELL	8,000	636.51	471.28	165.23
	01/25/18	10/19/16	Covered	First In First Out / SELL	10,000	795.64	574.97	220.67
	01/25/18	01/09/17	Covered	First In First Out / SELL	32,000	2,546.04	2,012.48	533.56
Total					59,000	4,694.26	3,540.08	1,154.18
VANGUARD INTL EQUITY	10/18/18	07/24/17	Covered	First In First Out / SELL	111,000	4,188.83	4,737.36	-548.53
FTSE EMERGING MKTS ETF								
Security Identifier: 922042858								
VANGUARD SCOTTS DALE	07/20/18	04/22/15	Covered	First In First Out / SELL	3,000	264.82	281.25	-16.43
RD LONG-TERM CORPORATE BOND IN	07/20/18	07/15/15	Covered	First In First Out / SELL	6,000	529.64	509.92	19.72
DEX FUND	07/20/18	10/21/15	Covered	First In First Out / SELL	15,000	1,324.09	1,306.71	17.38
Security Identifier: 922060813	07/20/18	04/26/17	Covered	First In First Out / SELL	1,000	88.27	90.47	-2.20
Total					25,000	2,206.82	2,188.35	18.47
Total Long Term						60,036.97	52,411.11	7,625.86
Total Short and Long Term						88,082.76	81,044.36	7,038.40

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered" were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099-B, the cost basis will not be reported to the IRS.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

Account Number: ETF-005005

8002790235-3003

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Schedule of Realized Gains and Losses Year-to-Date (continued)

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and / or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-89,973.16	-89,973.16
Securities Sold	0.00	0.00	0.00	88,082.76	0.00	88,082.76
Total Securities	\$0.00	\$0.00	\$0.00	\$88,082.76	-\$89,973.16	-\$1,890.40
Dividends and Interest	\$497.45	\$0.00	\$497.45	\$2,151.83	\$0.00	\$2,151.83
FDIC Insured Bank Deposits	\$0.00	-\$424.79	-\$424.79	\$4,123.09	-\$4,321.06	-\$197.97
Totals	\$497.45	-\$424.79	\$72.66	\$94,357.68	-\$94,294.22	\$63.46

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/07/18		CASH DIVIDEND RECEIVED	20 SHRS ISHARES TR 10-20 YR TREAS BD ETF RD 12/04 PD 12/07/18	5.19			USD	
12/07/18		CASH DIVIDEND RECEIVED	61 SHRS ISHARES TR LONG-TERM CORP BD ETF RD 12/04 PD 12/07/18	13.30			USD	
12/07/18		CASH DIVIDEND RECEIVED	113 SHRS ISHARES IBONDS DEC 2023 TERM CORPORATE ETF RD 12/04 PD 12/07/18	7.39			USD	
12/07/18		CASH DIVIDEND RECEIVED	114 SHRS ISHARES IBONDS DEC 2025 CORPORATE ETF RD 12/04 PD 12/07/18	8.11			USD	
12/07/18		CASH DIVIDEND RECEIVED	200 SHRS ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF RD 12/04 PD 12/07/18	11.20			USD	
12/07/18		CASH DIVIDEND RECEIVED	117 SHRS ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF RD 12/04 PD 12/07/18	8.74			USD	
12/07/18		CASH DIVIDEND RECEIVED	108 SHRS ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF RD 12/04 PD 12/07/18	13.99			USD	





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December 2018 - September 31, 2018
CHARLES F. FELSCH, III, MANN

Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/10/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-67.92	USD
		USD999997						
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	INSURED DEPOSIT INTEREST REINVESTED				-2.19	USD
		PER987762						
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED	INSURED DEPOSIT INTEREST CREDITED				2.19	USD
		PER987762						
12/21/18		CASH DIVIDEND RECEIVED	103 SHRS ISHARES TR S&P 500 GROWTH ETF RD 12/18 PD 12/21/18				52.13	USD
12/21/18		CASH DIVIDEND RECEIVED	99 SHRS ISHARES TR S&P 500 VALUE ETF RD 12/18 PD 12/21/18				73.11	USD
12/21/18		CASH DIVIDEND RECEIVED	44 SHRS ISHARES TR S&P MIDCAP 400 GROWTH ETF RD 12/18 PD 12/21/18				22.98	USD
12/21/18		CASH DIVIDEND RECEIVED	41 SHRS ISHARES TR S&P MIDCAP 400 VALUE ETF RD 12/18 PD 12/21/18				30.04	USD
12/21/18		CASH DIVIDEND RECEIVED	11 SHRS ISHARES TR US FINANCIALS ETF INDEX RD 12/18 PD 12/21/18				6.11	USD
12/21/18		CASH DIVIDEND RECEIVED	55 SHRS ISHARES TR S&P SMALL-CAP 600 VALUE ETF RD 12/18 PD 12/21/18				35.13	USD
12/21/18		CASH DIVIDEND RECEIVED	69 SHRS ISHARES TR S&P SMALL-CAP 600 GROWTH ETF RD 12/18 PD 12/21/18				37.21	USD
12/24/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-256.71	USD
		USD999997						
12/24/18		CASH DIVIDEND RECEIVED	20 SHRS ISHARES TR 10-20 YR TREAS BD ETF RD 12/19 PD 12/24/18				4.87	USD
12/24/18		CASH DIVIDEND RECEIVED	61 SHRS ISHARES TR LONG-TERM CORP BD ETF RD 12/19 PD 12/24/18				13.80	USD
12/24/18		CASH DIVIDEND RECEIVED	113 SHRS ISHARES IBONDS DEC 2023 TERM CORPORATE ETF RD 12/19 PD 12/24/18				6.54	USD
		IBDO						

Account Number: ETF-005005

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/24/18		CASH DIVIDEND RECEIVED	114 SHRS ISHARES IBONDS DEC 2025 CORPORATE ETF RD 12/19 PD 12/24/18				7.85	USD
12/24/18		CASH DIVIDEND RECEIVED	200 SHRS ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF FD RD 12/19 PD 12/24/18				9.80	USD
12/24/18		CASH DIVIDEND RECEIVED	117 SHRS ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF RD 12/19 PD 12/24/18				8.07	USD
12/24/18		CASH DIVIDEND RECEIVED	108 SHRS ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF RD 12/19 PD 12/24/18				12.59	USD
12/26/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-63.52	USD
12/27/18		CASH DIVIDEND RECEIVED	22 SHRS SELECT SECTOR SPDR TR MATLS RD 12/24 PD 12/27/18				7.01	USD
12/27/18		CASH DIVIDEND RECEIVED	16 SHRS SELECT SECTOR SPDR TR ENERGY RD 12/24 PD 12/27/18				8.48	USD
12/28/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-15.49	USD
12/28/18		CASH DIVIDEND RECEIVED	61 SHRS VANGUARD INTL EQUITY INDEX FDS FTSE EUROPE ETF RD 12/26 PD 12/28/18				18.96	USD
12/31/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-18.96	USD
12/31/18		CASH DIVIDEND RECEIVED	259 SHRS SPDR INDEX SHS FDS SPDR PORT DEVELOPED WORLD EX-US ETF RD 12/26 PD 12/31/18				72.66	USD
Total Value of Transactions							\$0.00	\$72.66 USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Other Dividends	495.26	0.00	2,131.00	0.00
Interest Income	2.19	0.00	20.83	0.00
FDIC Insured Bank Deposits				
Total Dividends, Interest, Income and Expenses	\$497.45	\$0.00	\$2,151.83	\$0.00

Account Number: ETF-005005

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105
314-726-1600

December 31, 2018
CHARLES F. SCHMANN

Messages

Please note the following information for Pershing's 2018 IRS Form 1099 (B, DIV, INT, MISC and OID): Your Form 1099 will be delivered as early as possible, beginning January 31, 2019. By February 15, you will be mailed either your Form 1099 or a special Pending 1099 Notice. The Pending 1099 Notice will be sent if we have not received and processed final information from issuers and trustees of securities that you hold by the as of date of the February 15, 1099 mailing. You will also be sent the notice if your information is still being reviewed and finalized. The notice will inform you of the securities that are pending final reporting and provides the anticipated mail date of your 1099. Your 1099 will be mailed no later than March 15, 2019.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account. Its sales representatives and other personnel, Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial institution's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department,



Important Information and Disclosures (continued)

The Role of Pershing (continued)

One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.



TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND ANTICIPATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT, IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
- FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
- DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
- MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU. THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NON-TAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, GDD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL. AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES (REGULATION NMS—RULE 607(a)(1)-(2))

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDENT UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS OR DEDUCTING LISTED OPTIONS ORDER FLOW TO CERTAIN WRITTEN REQUEST. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS APPLATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS. TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO), ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY. PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION, THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

WRAP ACCOUNT CUSTOMERS WHO ELECTED NOT TO RECEIVE IMMEDIATE CONFIRMATION OF TRANSACTIONS
THE FOLLOWING TERMS AND CONDITIONS ARE APPLICABLE ONLY IF YOUR ACCOUNT IS AN INVESTMENT ADVISORY ACCOUNT AND THE TRANSACTION CONFIRMATIONS ARE NOT SENT TO YOU (SENT ONLY TO YOUR FIDUCIARY) PURSUANT TO YOUR INSTRUCTION:

WRAP ACCOUNT TRANSACTIONS

- THE FOLLOWING INFORMATION WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR FINANCIAL INSTITUTION ("INTRODUCING FIRM") WITH RESPECT TO ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU (THE MARKET UPON WHICH ANY TRANSACTION WAS EXECUTED). THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED, THE NAME OF THE PERSON FROM WHOM ANY SECURITY WAS PURCHASED OR TO WHOM SUCH SECURITY WAS SOLD, THE SOURCE AND AMOUNT OF OTHER COMMISSIONS RECEIVED IN CONNECTION WITH ANY TRANSACTION, AND A COPY OF THE TRANSACTION CONFIRMATION.
- YOUR INTRODUCING FIRM EFFECTED EACH TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU AS YOUR AGENT.
- IF ANY TRANSACTION INVOLVES AN ASSET-BACKED SECURITY, INCLUDING A MUNICIPAL COLLATERALIZED MORTGAGE OBLIGATION, WHICH REPRESENTS AN INTEREST IN OR IS SECURED BY A POOL OF RECEIVABLES OR OTHER FINANCIAL ASSETS THAT ARE SUBJECT CONTINUOUSLY TO PREPAYMENT, THEN THE ACTUAL YIELD OF SUCH SECURITY MAY VARY ACCORDING TO THE RATE AT WHICH THE UNDERLYING RECEIVABLES OR OTHER FINANCIAL ASSETS ARE PREPAID. INFORMATION CONCERNING THE FACTORS THAT AFFECT YIELD (INCLUDING AT A MINIMUM, ESTIMATED YIELD, WEIGHTED AVERAGE LIFE, AND THE PREPAYMENT ASSUMPTIONS OF UNDERLYING YIELD) WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR INTRODUCING FIRM.
- WRAP ACCOUNT ORDER FLOW:** YOUR INTRODUCING FIRM DOES NOT RECEIVE ANY PAYMENT FOR ORDER FLOW FOR ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU.
- WRAP ACCOUNT CALL FEATURES:** CALL FEATURES MAY EXIST FOR SECURITIES. CALL FEATURES FOR FIXED INCOME SECURITIES MAY AFFECT YIELD. COMPLETE INFORMATION WILL BE PROVIDED UPON REQUEST.
- WRAP ACCOUNT RATINGS:** THE RATINGS THAT APPEAR IN THE DESCRIPTION OF SOME FIXED INCOME SECURITIES HAVE BEEN OBTAINED FROM RATINGS SERVICES WHICH PERSHING BELIEVES TO BE RELIABLE. HOWEVER, PERSHING CANNOT GUARANTEE THEIR ACCURACY. SECURITIES FOR WHICH A RATING IS NOT AVAILABLE ARE MARKED "UNRATED."

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Attachment 5



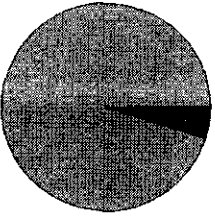
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One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105
314-726-1600

CHARLES J FLEISCHMANN
BRENDA M FLEISCHMANN JT TEN
8714 RAMBLING ROSE DR
OOLTEWAH TN 37363-7118

Your Financial Advisor is:
WARD PETTY
(423) 668-5411

The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



Percent	Asset Type	Prior Year-End	Last Period	This Period
5%	Cash, Money Funds, and Bank Deposits	6,626.31	4,024.36	10,365.28
95%	Mutual Funds	236,641.89	231,604.13	217,996.69
100%	Account Total (Pie Chart)	\$243,268.20	\$235,628.49	\$228,361.97

Please review your allocation periodically with your Financial Advisor.

December 1, 2018 - December 31, 2018
Account Number: EPP-011695

Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$235,628.49	\$243,268.20
Dividends, Interest and Other Income	8,191.90	12,957.44
Fees	0.00	-4,867.49
Net Change in Portfolio ¹	-15,458.42	-22,996.18
ENDING ACCOUNT VALUE	\$228,361.97	\$228,361.97
Estimated Annual Income	\$6,548.15	

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

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Additional Information

Description	This Period	Year-to-Date
Securities Bought and Sold	-\$1,850.98	-\$4,350.98

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	0.00	-274.69
Long-Term Gain/Loss	0.00	0.00	-4,324.77
Net Gain/Loss	0.00	0.00	-4,599.46

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier-and tax documents are no exception. With anywhere, anytime access and the ability to download, then e-mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today-and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in.

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This convenient e-delivery service is available even if you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery for you.

Make the move to paperless today!

Client Service Information

Your Financial Advisor is: C90

WARD PETTY
1101 BROAD STREET, SUITE 105
CHATTANOOGA TN 37402-2943

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: CONSERVATIVE INCOME

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.

Account Number: EPP-011695

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 INVESTMENTS for GENERATIONS
 One North Breckwood Blvd., Suite 850
 Saint Louis, MO 63105 314-726-1600

December 2018 - December 31, 2018
 CHARLES FLEISCHMANN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD
 Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out
BOND AMORTIZATION ELECTIONS
 Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY
 You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
INSURED DEPOSIT PROGRAM F				
Activity Ending: 12/31/18				
12/01/18	Opening Balance		4,024.36	4,024.36
12/04/18	Deposit	DEPOSIT	198.31	4,222.67
12/05/18	Deposit	DEPOSIT	72.32	4,294.99
12/14/18	Deposit	DEPOSIT	1,216.03	5,511.02
12/14/18	Deposit	INTEREST CREDITED	4.15	5,515.17
12/17/18		APY 1.15%		
		Citibank N.A.		
		A/O 12/17 \$5515.17		5,515.17
12/19/18	Deposit	DEPOSIT	212.90	5,728.07
12/20/18	Deposit	DEPOSIT	1,994.05	7,722.12
12/24/18	Deposit	DEPOSIT	1,469.06	9,191.18
12/26/18	Deposit	DEPOSIT	1,150.97	10,342.15
12/31/18	Closing Balance			\$10,342.15
Total FDIC Insured Bank Deposits				\$10,342.15

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS, AND BANK DEPOSITS 5.00% of Portfolio									
Cash Balance				0.00	23.13				
FDIC Insured Bank Deposits									
INSURED DEPOSIT PROGRAM F									
12/01/18	10,342.150	N/A	12/31/18	4,024.36	10,342.15	3.79	4.15	N/A	N/A
DEUTSCHE BANK INS DEPOSIT E									
12/01/18		N/A	12/31/18	0.00	0.00	0.00	31.03	N/A	N/A
Total FDIC Insured Bank Deposits				\$4,024.36	\$10,342.15	\$3.79	\$35.18		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS				\$4,024.36	\$10,365.28	\$3.79	\$35.18		
MUTUAL FUNDS 95.00% of Portfolio									
BLACKROCK MULTI-ASSET INCOME									
PORTFOLIO FD CL A				Security Identifier: BAICX CUSIP: 09258H351					
Open End Fund									
Dividend Option: Cash, Capital Gains Option: Cash									
Multiple Y	Total Covered	10.9900	20,162.84	10,1300	18,584.80	-1,578.04		966.66	5.20%
	1,834.630								
DODGE & COX GLOBAL STOCK FUND									
Open End Fund				Security Identifier: DODWX CUSIP: 256206202					
Dividend Option: Cash, Capital Gains Option: Cash									
Multiple Y	Total Covered	11.9740	16,302.41	11,0300	15,017.32	-1,286.09		345.82	2.30%
	1,361.498								
FIDELITY FLOATING RATE HIGH INCOME FUND									
Open End Fund				Security Identifier: FFRHX CUSIP: 315916783					
Dividend Option: Cash, Capital Gains Option: Cash									
05/10/16	1,927.195	9.3400	18,000.00	9.2000	17,730.19	-269.81		760.71	4.29%
FIRST EAGLE GLOBAL FUND CLASS I									
Open End Fund				Security Identifier: SGLIX CUSIP: 32008F606					
Dividend Option: Cash, Capital Gains Option: Cash									
Multiple Y	Total Covered	53.3380	16,158.63	51,1000	15,480.64	-677.99		182.07	1.17%
	302.948								

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December 28, 2018
 CHARLES F. EISENBERG

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS A				Security Identifier: FEBAX CUSIP: 32008F697				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
Multiple Y	1,634,304	10.8340	17,706.49	11.0900	18,124.43	417.94	454.33	2.50%
Total Covered								
HARBOR BOND FUND INSTITUTIONAL CLASS				Security Identifier: HBDX CUSIP: 41151108				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
05/10/16	1,533,220	11.7400	18,000.00	11.1300	17,064.74	-935.26	525.86	3.08%
LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A				Security Identifier: LGMAX CUSIP: 46602Q107				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
Multiple Y	1,265,223	17.6990	22,393.29	20.0100	25,317.11	2,923.82	204.33	0.80%
Total Covered								
PIMCO INCOME FUND CLASS A				Security Identifier: PONAX CUSIP: 72201F474				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
Multiple Y	1,713,333	12.3570	21,171.20	11.8100	20,234.46	-936.74	1,058.12	5.22%
Total Covered								
T ROWE PRICE BALANCED				Security Identifier: RPBAX CUSIP: 77954G108				
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Multiple Y	1,508,158	22.1470	33,401.29	21.3900	32,259.50	-1,141.79	754.07	2.33%
Total Covered								

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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
VANGUARD WELLESLEY INCOME FUND				Security Identifier: VWINX CUSIP: 927938106				
INVESTOR SHARES								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
Multiple ^Y								
	Total Covered	25,1440	39,300.00	24.4300	38,183.50	-1,116.50	1,261.00	3.30%
	1,562,976							
TOTAL MUTUAL FUNDS			\$222,596.15		\$217,996.69	-\$4,599.46	\$6,512.97	
TOTAL PORTFOLIO HOLDINGS			\$232,961.43		\$228,361.97	-\$4,599.46	\$0.00	\$6,548.15
			Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

^Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may

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Portfolio Holdings Disclosures (continued)

Reinvestment (continued)
including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure
information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining financial background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions
Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day. Increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote
Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings
HARD TO VALUE POSITIONS
Positions identified on your statements as "hard-to-value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and expect that the fair market value for hard-to-value assets reflects the amount you would actually receive in the event of a sale.

MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor.

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Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-1,850.98	-1,850.98	0.00	-4,350.98	-4,350.98
Total Securities	\$0.00	-\$1,850.98	-\$1,850.98	\$0.00	-\$4,350.98	-\$4,350.98
Dividends and Interest	\$1,795.43	\$0.00	\$1,795.43	\$6,560.97	\$0.00	\$6,560.97
Distributions	\$6,396.47	\$0.00	\$6,396.47	\$6,396.47	\$0.00	\$6,396.47
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$4,867.49	-\$4,867.49
FDIC Insured Bank Deposits	\$0.00	-\$6,317.79	-\$6,317.79	\$11,184.93	-\$14,931.61	-\$3,746.68
Totals	\$8,191.90	-\$8,168.77	\$23.13	\$24,142.37	-\$24,150.08	-\$7.71

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/03/18	CASH DIVIDEND RECEIVED	BAIX	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL A FOR ACCRUAL PERIOD ENDING 11/30/18	81.26			USD	
12/03/18	CASH DIVIDEND RECEIVED	FEBA	FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/30/18	28.73			USD	
12/03/18	CASH DIVIDEND RECEIVED	PONAX	PIMCO INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/30/18	88.32			USD	
12/04/18	FDIC INSURED BANK DEPOSIT	USD999997	INSURED DEPOSIT PROGRAM F	-198.31			USD	
12/04/18	CASH DIVIDEND RECEIVED	FFRH	FIDELITY FLOATING RATE HIGH INCOME FUND FOR ACCRUAL PERIOD ENDING 11/30/18	72.32			USD	
12/05/18	FDIC INSURED BANK DEPOSIT	USD999997	INSURED DEPOSIT PROGRAM F	-72.32			USD	
12/13/18	LONG TERM CAPITAL GAIN DISTRIBUTION	SGIX	FIRST EAGLE GLOBAL FUND CLASS I 302.9480 SHRS RD 12/11 PD 12/13/18	833.11			USD	
12/13/18	CASH DIVIDEND RECEIVED	SGIX	FIRST EAGLE GLOBAL FUND CLASS I 302.9480 SHRS RD 12/11 PD 12/13/18	182.07			USD	
12/13/18	SHORT TERM CAPITAL GAIN DISTRIBUTION	SGIX	FIRST EAGLE GLOBAL FUND CLASS I 302.9480 SHRS RD 12/11 PD 12/13/18	15.75			USD	
12/13/18	LONG TERM CAPITAL GAIN DISTRIBUTION	RPBAX	T ROWE PRICE BALANCED 423.8310 SHRS RD 12/11 PD 12/13/18	1,822.50			USD	





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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/13/18		CASH DIVIDEND RECEIVED RPBAX	T ROWE PRICE BALANCED 1423.8310 SHRS RD 12/11 PD 12/3/18				185.10	USD
12/13/18		SHORT TERM CAPITAL GAIN DISTRIBUTION RPBAX	T ROWE PRICE BALANCED 1423.8310 SHRS RD 12/11 PD 12/3/18				28.48	USD
12/13/18		REINVEST CASH INCOME RPBAX	T ROWE PRICE BALANCED 1423.8310 SHRS SHRS PURCH. AT \$21.95000 RD 12/11 PD 12/13/18	1.297			-28.48	USD
12/13/18		REINVEST CASH INCOME RPBAX	T ROWE PRICE BALANCED 1423.8310 SHRS SHRS PURCH. AT \$21.95000 RD 12/11 PD 12/13/18	83.030			-1,822.50	USD
12/14/18		FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-1,216.03	USD
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED PER987762	INSURED DEPOSIT INTEREST REINVESTED				-4.15	USD
12/17/18	12/14/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED PER987762	INSURED DEPOSIT INTEREST CREDITED				4.15	USD
12/18/18		CASH DIVIDEND RECEIVED HABDX	HARBOR BOND FUND INSTITUTIONAL CLASS 1533.2200 SHRS RD 12/14 PD 12/17/18				212.90	USD
12/19/18		FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-212.90	USD
12/19/18		LONG TERM CAPITAL GAIN DISTRIBUTION VWINK	VANGUARD WELLESLEY INCOME FUND INVESTOR SHARES 1562.9760 SHRS RD 12/17 PD 12/19/18				1,626.75	USD
12/19/18		CASH DIVIDEND RECEIVED VWINK	VANGUARD WELLESLEY INCOME FUND INVESTOR SHARES 1562.9760 SHRS RD 12/17 PD 12/19/18				367.30	USD
12/20/18		FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-1,994.05	USD
12/21/18		LONG TERM CAPITAL GAIN DISTRIBUTION DODWX	DODGE & COX GLOBAL STOCK FUND 1361.4980 SHRS RD 12/18 PD 12/20/18				1,097.37	USD

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Transactions in Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/21/18		CASH DIVIDEND RECEIVED	DODGE & COX GLOBAL STOCK FUND (361.4980 SHRS RD 12/18 PD 12/20/18				345.82	USD
12/21/18		DODMX						
12/21/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	DODGE & COX GLOBAL STOCK FUND (361.4980 SHRS RD 12/18 PD 12/20/18				25.87	USD
12/24/18		DODMX						
12/24/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-1,469.06	USD
12/24/18		USD999997						
12/24/18		LONG TERM CAPITAL GAIN DISTRIBUTION	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265.2230 SHRS RD 12/20 PD 12/24/18				939.30	USD
12/24/18		LGMXX						
12/24/18		CASH DIVIDEND RECEIVED	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265.2230 SHRS RD 12/20 PD 12/24/18				204.33	USD
12/24/18		LGMXX						
12/24/18		SHORT TERM CAPITAL GAIN DISTRIBUTION	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265.2230 SHRS RD 12/20 PD 12/24/18				7.34	USD
12/26/18		LGMXX						
12/26/18		FDIC INSURED BANK DEPOSIT	INSURED DEPOSIT PROGRAM F				-1,150.97	USD
12/31/18		USD999997						
12/31/18		CASH DIVIDEND RECEIVED	FIDELITY FLOATING RATE HIGH INCOME FUND 1927.1950 SHRS RD 12/27 PD 12/28/18				23.13	USD
12/31/18		FFRX						
Total Value of Transactions					\$0.00		\$23.13	USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Other Dividends	1,791.28	0.00	6,525.79	0.00
Interest Income				
FDIC Insured Bank Deposits	4.15	0.00	35.18	0.00
Total Dividends, Interest, Income and Expenses	\$1,795.43	\$0.00	\$6,560.97	\$0.00
Distributions				
Long - Term Capital Gain Distributions	6,319.03	0.00	6,319.03	0.00
Short - Term Capital Gain Distributions	77.44	0.00	77.44	0.00
Total Distributions	\$6,396.47	\$0.00	\$6,396.47	\$0.00





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December 31, 2018
CHARLES FLEISCHMANN

Messages

Please note the following information for Pershing's 2018 IRS Form 1099 (B, DIV, INT, MISC and OID). Your Form 1099 will be delivered as early as possible, beginning January 31, 2019. By February 15, you will be mailed either your Form 1099 or a special Pending 1099 Notice. The Pending 1099 Notice will be sent if we have not received and processed final information from issuers and trustees of securities that you hold by the date of the February 15 1099 mailing. You will also be sent the notice if your information is still being reviewed and finalized. The notice will inform you of the securities that are pending final reporting and provides the anticipated mail date of your 1099. Your 1099 will be mailed no later than March 15, 2019.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders, the assessment of the suitability of those transactions, where applicable, the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial institution's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial institution and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial institution's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department,



Important Information and Disclosures (continued)

The Role of Pershing (continued)

One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.



TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY. IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU. USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NON-TAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE TO PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR, CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT.

